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PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Attorney Docket No.	190-1480
First Inventor	Rowley
Title	Data Lookup
Express Mail Label No.	EL 874 025 443 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)																		
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)																		
3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages 9]</span> (preferred arrangement set forth below)	a. <input type="checkbox"/> Computer Readable Form (CRF)																		
<ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>																			
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Sheets 1]</span>	b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul>																		
5. Oath or Declaration <span style="float: right;">[Total Pages 3]</span>	c. <input type="checkbox"/> Statements verifying identity of above copies																		
<b>ACCOMPANYING APPLICATION PARTS</b> <table border="0" style="width: 100%;"> <tr> <td>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</td> <td style="width: 20px; text-align: right;">✓</td> </tr> <tr> <td>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)</td> <td><input type="checkbox"/> Power of Attorney</td> </tr> <tr> <td>11. <input type="checkbox"/> English Translation Document (if applicable)</td> <td></td> </tr> <tr> <td>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449</td> <td><input checked="" type="checkbox"/> Copies of IDS Citations</td> </tr> <tr> <td>13. <input type="checkbox"/> Preliminary Amendment</td> <td></td> </tr> <tr> <td>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</td> <td></td> </tr> <tr> <td>15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</td> <td></td> </tr> <tr> <td>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</td> <td></td> </tr> <tr> <td>17. <input type="checkbox"/> Other:</td> <td></td> </tr> </table>		9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	✓	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	<input type="checkbox"/> Power of Attorney	11. <input type="checkbox"/> English Translation Document (if applicable)		12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input checked="" type="checkbox"/> Copies of IDS Citations	13. <input type="checkbox"/> Preliminary Amendment		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)		15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)		16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.		17. <input type="checkbox"/> Other:	
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17. <input type="checkbox"/> Other:																			
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	<span style="font-size: 10px;">10/05/2010</span> <span style="font-size: 10px;">USPTO</span>																		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

*Prior application information:* Examiner Group / Art Unit  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label or  Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name	William M. Lee, Jr. LEE, MANN, SMITH, MCWILLIAMS, SWEENEY & OHLSON
Address	P. O. Box 2786

Name (Print/Type)	William M. Lee, Jr.	Registration No. (Attorney/Agent)	26,935
Signature		Date	January 25, 2002

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.** SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$780.00

## Complete if Known

Application Number

Filing Date

First Named Inventor

Rowley

Examiner Name

Group Art Unit

Attorney Docket No. 190-1480

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:Deposit Account Number **12-0913**

Deposit Account Name

 Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17 Applicant claims small entity status.  
See 37 CFR § 1.272.  Payment Enclosed: Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201 370 Utility filing fee	740.00
106	330	206 165 Design filing fee	
107	510	207 255 Plant filing fee	
108	740	208 370 Reissue filing fee	
114	160	214 80 Provisional filing fee	
SUBTOTAL (1)			\$740.00

## 2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims <b>14</b>	<b>-20** = 0</b>	<b>X 0 = 0.00</b>
Independent <b>2</b>	<b>-3** = 0</b>	<b>X 0 = 0.00</b>
Claims		
Multiple Dependent		

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103	18	203 9 Claims in excess of 20	
102	84	202 42 Independent claims in excess of 3	
104	280	204 140 Multiple dependent claim, if not paid	
109	84	209 42 ** Reissue independent claims over original patent	
110	18	210 9 ** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			\$0.00

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non - English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
128	1,960	228 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,280	241 640 Petition to revive - unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR § 1.17(q)	
126	180	126 180 Submission of Information Disclosure Statement	
581	40	581 40 Recording each patent assignment per property (times number of properties)	40.00
146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify)			

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$40.00

## SUBMITTED BY

Name (Print/Type)	William M. Lee, Jr.	Registration No. (Attorney/Agent)	26,935	Telephone	312-368-1300
Signature				Date	January 25, 2002

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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acknowledge  
of the  
enclosed:

New US Patent Application for:

**Rowley**

**DATA LOOKUP**

Serial No. To Be Assigned

Filed: Herewith

1. Utility Patent Application Transmittal
2. Fee Transmittal for FY 2002 (in duplicate)
3. Assignment Cover Sheet w/Assignment
4. Declaration w/Specification (9 pages), including Abstract and Drawings (1 Sheet)
5. Claim for Priority w/attachment
6. Information Disclosure Statement
7. Information Disclosure Statement by Applicant w/attachments
8. Check No. 47799 in the amount of \$780.00
9. Express Mail Certificate

10. Return Post Card

DUE DATE (If Any): NEW APPLICATION

DATE SENT: January 25, 2002

WMLJ:tjb

**"Express Mail" mailing label number**

EL 874 025 443 US

**Date of deposit: January 25, 2002**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington DC 20231

**Richard Sensenbrenner**

**(Typed or printed name of person mailing paper or fee)**



**(Signature of person mailing paper or fee)**